

Compliance Notification

Don't let a Regulatory Wave hit you!

Past, on-going and future acts such as Dodd-Frank, Basel III, and the Foreign Account Tax Compliance Act (FATCA) have resulted in an increase in Compliance related activities and personnel. Dodd-Frank alone produced millions of hours of paperwork costing businesses more than \$24 billion since its initial passage. These acts produce several regulations and procedures for companies to follow and it's up to your Compliance Department to review, document, advise, and track all of these as they relate to following them accurately.



ClearCadence understands that Compliance programs must provide a structure for a company to conduct business ethically and in full compliance with legal and regulatory requirements. That's why we developed **ClearNotice**, a compliance tracking solution that provides a structured method for communicating new regulations and tracking the steps and due diligence each department contributes into making sure their areas are compliant.

Automating Regulatory Review with BPMS

By building ClearNotice on the **K2** Business Process Management Software (BPMS) platform, ClearNotice provides the following:

Structured Workflow

All reviews go through a specific workflow to make sure the review process is carried out exactly as needed.

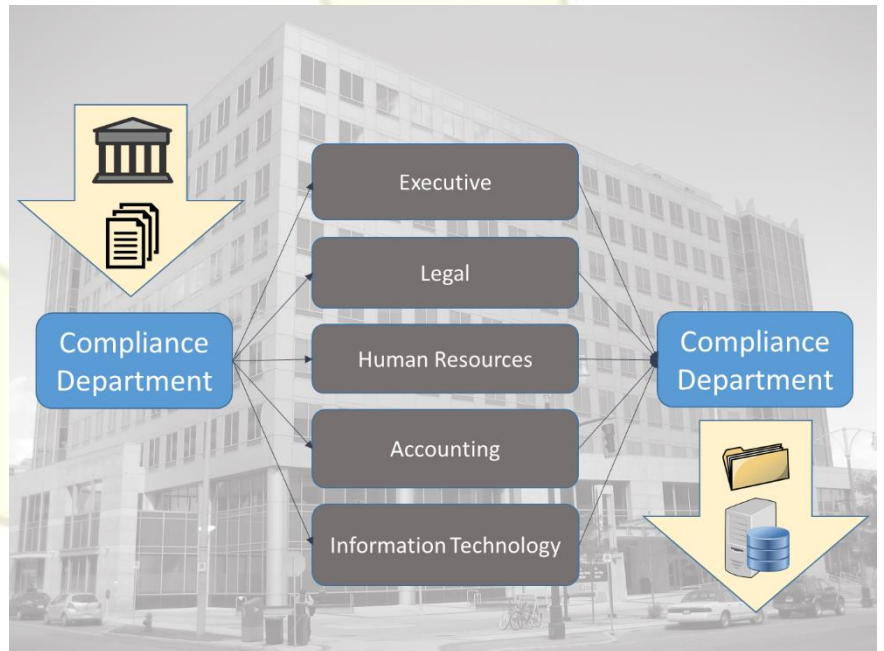
Assignments & Tasks

ClearNotice allows Compliance Departments to assign the review of new regulations to specific departments within the company and to assign a set of tasks to be completed by each department.

Follow through to Production

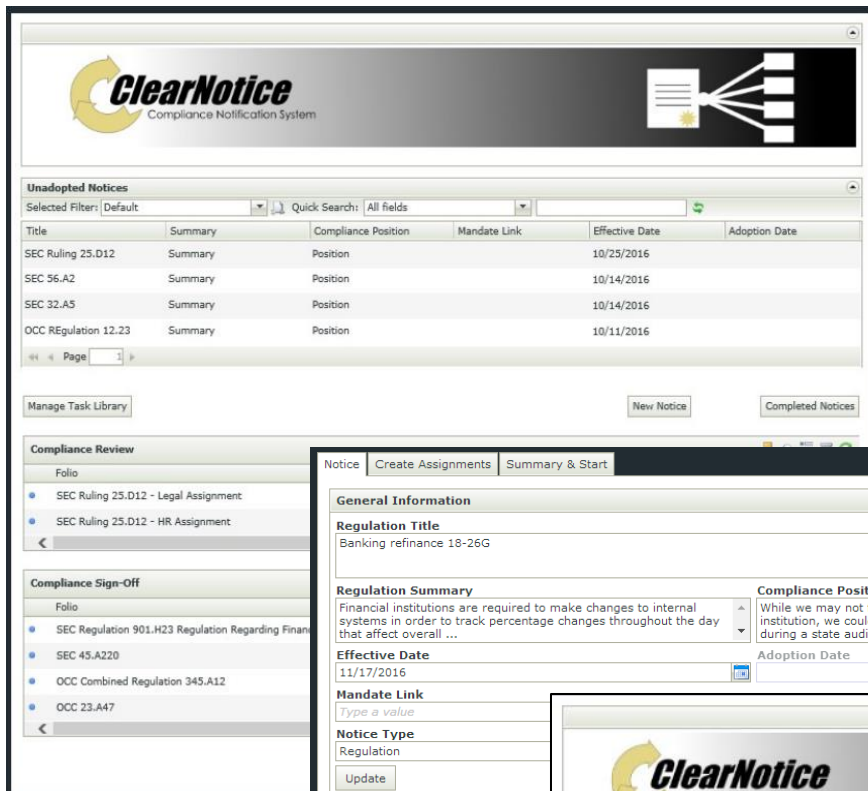
The ClearNotice system is designed to include tracking straight through to production so even if every department has reviewed the regulation, if system changes are needed, Compliance will be able to track and report on when the system changes were moved into production.

Additionally, ClearNotice is linked to DocuSign to ensure the appropriate people are declaring the new regulation has been implemented properly.



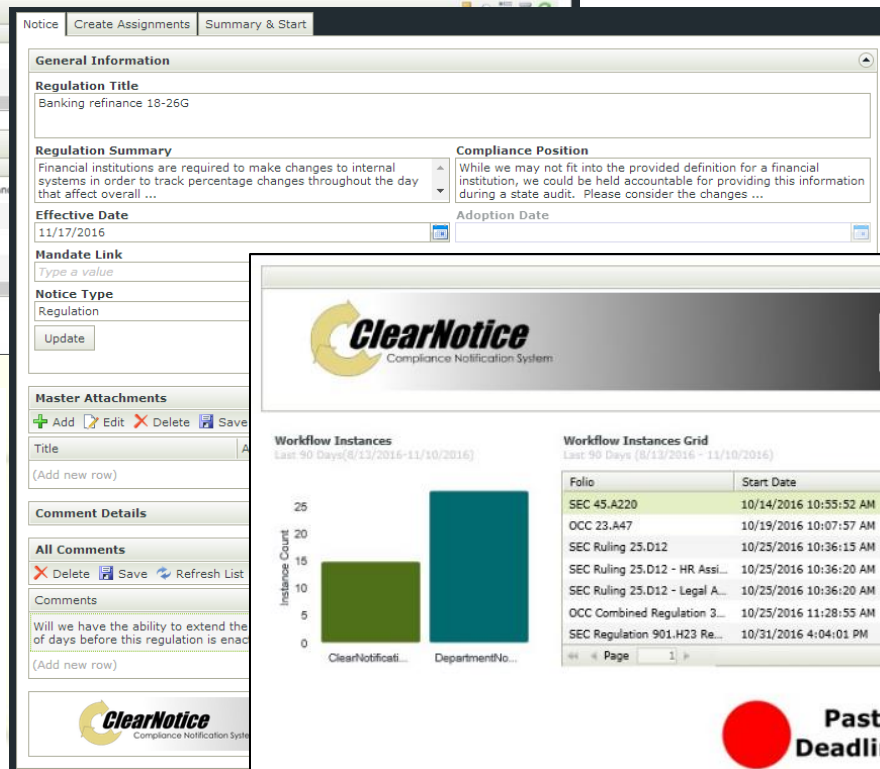
Why is ClearNotice for Compliance Important?

By providing an auditable account of the handling of any and every new legal and regulatory requirement, ClearNotice keeps Compliance involved from the start of receiving each new requirement straight-through to implementing and completing it into production.



Tracking & Escalations

By utilizing the workflow, Compliance will know at a glance the status of each regulation currently in review. The workflow will also automatically escalate reviews that go past a configurable deadline.



Consolidated View

Compliance or external parties will be able to review the entire history of a regulation review from when it came into the company to each department providing their input to it being enabled in production systems. Additionally, all documents, procedures, comments, and workflow history is all available in one virtual package.



We Know BPM

ClearCadence can provide BPM assistance from learning, defining, developing, and maintaining your Business Process Management Strategy and provide expert-level skills from analysis to implementation. Our services allow you to have the right path for success in executing your BPM strategy at the department and/or enterprise level. Our expertise in the BPM field is provided by certified professionals with real-world, practical experience.



Highlights

- Customized workflow rules – your business rules automated
- User roles and groups – make sure the right work is seen by the right people
- Customized forms with populated with your business data
- Work activity monitoring – from knowing each step a work item went through to how much time it took at each step
- Baseline measurements such as KPIs, SLAs, and performance measurements.
- Reporting definitions, layouts and dashboards

Benefits

- Automated timers to ensure timely follow up on business critical work items
 - Work-step automation for commonly used tasks such as matching
 - Coordination of BPMS development between business and IT.
 - General and specialized searches including Full Text
 - Support for desktops, web, and mobile user interfaces
 - Out of the box integration to third party applications like DocuSign, SQL, Oracle, SAP, Exchange, SharePoint, and more
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Proven Delivery Methodology

The ClearCadence Delivery Methodology is a complete and adaptable approach to rapidly deploying your Business Process Improvement initiatives. Developed and continuously enhanced by the industry experts of the ClearCadence Professional Services team, the best practice delivery methodologies help you increase speed to value and establish a solid foundation for on-going process and system improvements by fully leveraging the depth of capabilities and flexibility offered in BPM software solutions.

With a strong customer focus and emphasis on collaboration, the ClearCadence Methodology helps ensure your implementation is easily understood and embraced.

Throughout the entire engagement, you maintain full project visibility and participation, allowing checkpoints and milestones to be easily tracked and measured by everyone involved. The ClearCadence approach to implementation enables the transfer of knowledge and skills to your team, and helps you achieve a rapid and successful solution roll-out that delivers immediate results.

Why ClearCadence?

ClearCadence has the experience and resources necessary for successful planning, architecture, design, development, customization, project management, technical support and education to help you get the most out of your BPMS investment. We offer the right combination of the industry's top people and solutions to help you meet your business objectives. ClearCadence Professional Services deliver:

- ✓ Expert consultants who truly understand BPM, integration, and business process challenges in your industry
- ✓ Experienced trainers experienced in knowledge transfer
- ✓ Superior customer service for immediate response and results with 100% client satisfaction



ClearCadence is committed to your success and to building a long-lasting relationship that helps you realize a strong return from your investment – both now and into the future. Let our Professional Services team help you take full advantage of BPM disciplines and technology by analyzing your business and system processes, identifying issues, and transforming them into efficient solutions.